PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Application Number	10/772,529
TRANSMITTAL	Filing Date	February 4, 2004
FORM	First Named Inventor	Robert N. BLAIR

16 + 36

refs.

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Art Unit 3736 Examiner Name Not Yet Assigned Attorney Docket Number 447582000700

ENCLOSURES (Check all that apply)								
Fee Transmi	ittal Form	Drawing(s)	After Allowance Communication to Group					
Fee At	ttached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment/	/Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After F	final	Petition to Convert to a Provisional Application	Proprietary Information					
Affidav	vits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter					
Extension of	Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):					
Express Aba	andonment Request	Request for Refund	Form PTO-1449 (6 pages with copy)					
X Information [pages)	Disclosure Statement (3	CD, Number of CD(s)	36 Cited References Return Postcard					
Certified Cop								
1	Missing Parts/	Remarks	·					
Respon	nse to Missing Parts							
under :	37 CFR 1.52 or 1.53							
	SIGNATI	JRE OF APPLICANT, ATTORNEY, OR	RAGENT					
	MORRISON & FOERSTER LLP (Customer No. 25226) Rick Shoop - 45,763							
Signature	POS							
Date	June 2, 2004							

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

(Kerry C. Keehan)

Dated: June 2, 2004

Signature:



CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on June 2, 2004.

Kerry C. Keehan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Robert N. BLAIR

Serial No.: 10/772,529

Filing Date: February 4, 2004

For: INTEGRATED DEVICE FOR NON-

INVASIVE ANALYTE MEASUREMENT

Group Art Unit: 3736

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

Applicants would like to draw the Examiner's attention to the co-pending applications (copies attached) listed below: Application Serial Number: 10/343,963, filed by M. Kouchnir on May 9, 2003, and Application Serial Number: 10/739,657, filed by H. L. Berman et al. on December 17, 2003. This Information Disclosure Statement is submitted: With the application; accordingly, no fee or separate requirements are required. Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided. 冈 Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided. After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of is enclosed. A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. П A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed. П A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under

37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search

has been made; (ii) additional information material to the examination of this application does

not exist; (iii) the information, protocols, results and the like reported by third parties are

accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the

Patent Office determines that an extension and/or other relief (such as payment of a fee under 37

C.F.R. §1.17(p)) is required, Applicant petitions for any required relief including extensions of

time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due

in connection with the filing of this document to **Deposit Account No. 03-1952** referencing

447582000700. However, the Commissioner is not authorized to charge the cost of the issue fee

to the Deposit Account.

Dated: June 2, 2004

Respectfully submitted,

Richard D. Shoop

Registration No. 45,763

Morrison & Foerster LLP

755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5804

Facsimile: (650) 494-0792

3

Serial No. 10/772,529 Docket No. 447582000700

pa-865721

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

	, Direct 1 of 0
Docket Number 447582000700	Application Number 10/772,529
Applicant	•
Ro	bert N. BLAIR
Filing Date February 4, 2004	Group Art Unit 3736

U.S. PATENT DOCUMENTS

Mailing Date June 2, 2004

Examiner Ref.		Date Document No.		Name	Class	Subclass	Filing Date If
Initials	No.	Date	Document No.	Ivaille	Class	Subclass	Appropriate
	1.	09/26/2002	2002/0136435	Prokoski			
	2.	10/17/2002	2002/0151773	Berman et al.			
	3.	06/05/2003	2003/0105391	Berman et al.			
_	4.	09/18/2003	2003/0176775	Berman			
- -	5.	10/14/1969	3,472,595	Hashizume			
	6.	09/22/1970	3,529,889	DeMey, Jr.			
	7.	09/26/1972	3,694,086	May			
	8.	04/15/1975	3,877,812	Thompson			
-	9.	10/18/1977	4,054,534	Angelini			
	10.	01/31/1978	4,071,020	Pugliese			
***	11.	04/24/1979	4,151,001	Anderson, Jr. et al.		•	
•••	12.	10/02/1979	4,169,676	Kaiser		7	
	13.	04/08/1980	4,196,845	Chesters			
	14.	07/19/1983	4,394,773	Ruell			***************************************
-	15.	01/24/1984	4,427,889	Müller			
	16.	09/03/1985	4,538,618	Rosenberg et al.			
	17.	04/07/1987	4,655,225	Dähne et al.			
	18.	12/01/1987	4,710,231	Bateman et al.			
	19.	04/18/1989	4,821,733	Peck			
	20.	03/20/1990	4,909,256	Peck			
	21.	12/04/1990	4,975,581	Robinson et al.			
	22.	07/02/1991	5,028,787	Rosenthal et al.			
	23.	08/06/1991	5,036,861	Sembrowich et al.			
	24.	11/26/1991	5,068,536	Rosenthal			7.77
	25.	02/04/1992	5,086,229	Rosenthal et al.			
	26.	05/19/1992	5,113,860	McQuinn			

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449			Docket Number 44/58/2000/00 Application Number 10/7/2,529				
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Applicant Robert N. BLAIR				
(Use several sheets if necessary)			Filing Date February 4, 2	004	Group Art Unit	3736	
			Mailing Date June 2, 2	004	<u> </u>		
27.	05/19/1992	5,115,133	Knı	ıdson			
28.	01/12/1993	5,178,142	Har	junmaa et al.			
29.	01/19/1993	5,179,951	Knı	ıdson			
30.	02/02/1993	5,183,042	Har	junmaa et al.			
31.	06/29/1993	5,222,496	Cla	rke et al.			
32.	11/30/1993	5,267,152	Yar	ıg et al.			
33.	05/24/1994	5,313,941	Bra	ig et al.			
34.	08/30/1994	5,341,805	Stav	vridi et al.			
35.	09/20/1994	5,348,003	Car	0			
36.	12/06/1994	5,370,114	Wo	ng et al.			
37.	02/14/1995	5,390,238	Kirl	k et al.		-	
38.	03/21/1995	5,398,681	Kup	ershmidt			
39.	04/18/1995	5,407,323	Gay	et al.			
40.	04/18/1995	5,408,312	Prie	es et al.			
41.	04/25/1995	5,410,471	Aly	fuku et al.			
42.	07/04/1995	5,429,128	Cad	lell et al.			
43.	07/18/1995	5,433,197	Star	·k			
44.	09/26/1995	5,452,716	Clif	ì			
45.	11/14/1995	5,467,403	Fish	ibine et al.			
46.	11/28/1995	5,470,323	Smi	th et al.			
47.	05/07/1996	5,515,163	Kup	pershmidt et al.			
48.	08/20/1996	5,548,404	Kup	ershmidt et al.			
49.	09/10/1996	5,553,613	Parl	ker			
50.	12/10/1996	5,582,184	Eric	kson et al.			- W
51.	06/17/1997	5,638,815	Sch	oendorfer			
52.	08/12/1997	5,655,530	Messerschmidt				
53.	10/28/1997	5,682,233	Brinda				
54.	12/02/1997	5,692,503	Kuenstner				
55.	03/03/1998	- · · · · · -		stein			
					1		
EXAMINER:				DATE CONSI	DERED:	-	
EXAMINER: Initial if citation considered, whether or not the citation of				on conforms with MPEP	609. Draw a l	ine through the	citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92) pa- 865716 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Docket Number 447582000700 Application Number 10/772,529 Form PTO-1449 Applicant INFORMATION DISCLOSURE CITATION Robert N. BLAIR IN AN APPLICATION (Use several sheets if necessary) Filing Date February 4, 2004 Group Art Unit 3736 Mailing Date June 1, 2004 56. 05/19/1998 5,754,289 Ozaki et al. 57. 06/16/1998 5,765,717 Gottselig 58. 07/28/1998 5,786,892 Amner et al. 59. 5,815,598 09/29/1998 Hara et al. 60. 10/06/1998 5,817,605 Papay Erickson et al. 61. 10/13/1998 5,820,570 62. 11/03/1998 Scharf 5,830,137 63. 03/09/1999 5,879,310 Sopp et al. 64. 03/09/1999 5,879,367 Latterell et al. 04/06/1999 Elden 65. 5,890,489 Sterling et al. 66. 05/04/1999 5,900,632 67. 08/10/1999 5,935,062 Messerschmidt et al. 68. 08/24/1999 5,941,821 Chou Blank 69. 10/05/1999 5,962,441 70. Kaffka et al. 10/26/1999 5,974,337 71. 12/14/1999 6,001,067 Shults et al. 72. 02/15/2000 6,026,314 Amerov et al. 73. Aldrich 05/16/2000 6,064,898 74. 05/30/2000 6,068,820 De Guzman 75. 07/11/2000 6,087,182 Jeng et al. 76. 08/29/2000 6,111,977 Scott et al. 77. 10/03/2000 6,128,091 Uchida et al. 78. 11/21/2000 6,149,588 Noda et al. 79. 11/28/2000 Hakamata 6,152,875 80. 11/28/2000 6,152,876 Robinson et al. Tankovich et al. 81. 12/19/2000 6,162,211 82. 01/09/2001 6,172,743 Kley et al. 83. 02/13/2001 Pu et al. 6,188,477 O'Rourke et al. 84. 03/20/2001 6,205,272 DATE CONSIDERED: **EXAMINER:** EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92) pa- 865716

Docket Number 447582000700 Application Number 10/772,529 Form PTO-1449 Applicant INFORMATION DISCLOSURE CITATION Robert N. BLAIR IN AN APPLICATION (Use several sheets if necessary) Filing Date February 4, 2004 Group Art Unit 3736 Mailing Date June 2, 2004 05/29/2001 6,240,306 85. Rohrscheib et al. 6,267,975 86. 07/31/2001 Smith, III et al. 87. 08/28/2001 Warner et al. 6,281,407 88. 11/27/2001 6,322,801 Lorenzi et al. Berman et al. 89. 03/26/2002 | 6,362,144 Berman et al. 90. 07/16/2002 6,421,548 91. 07/23/2002 6,424,848 Berman et al. 92. 07/23/2002 Berman et al. 6,424,849 93. 07/23/2002 6,424,851 Berman et al. 94. 08/06/2002 6,428,799 Cen et al. 95. 08/06/2002 6,430,424 Berman et al. 96. 08/06/2002 6,430,429 Van Vaals 97. 08/27/2002 6,440,437 Krzysik et al. 98. 09/03/2002 6,445,938 Berman et al. 99. 09/24/2002 Godshall 6,454,755 100. 12/10/2002 6,492,307 Matsuo et al. 101. 12/31/2002 6,501,284 Gozzini 102. 01/07/2003 6,503,198 Aronowtiz et al. 103. 01/28/2003 Kramer 6,512,381 104. 6,522,903 02/18/2003 Berman et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Transla YES	ation NO
	105.	08/23/1989	EP 0 329 361	Europe				
	106.	02/28/1990	EP 0 355 368	Europe				
	107.	06/21/1990	EP 0 404 562	Europe				
	108.	08/13/2003	EP 1 335 199	Europe				

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92) pa- 865716

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Form PTO-1449			Docket Number 447582000700 Application Number 10/772,529						
INFOR		ON DISCLO AN APPLIC	SURE CITATION ATION		Applicant Robert N. BLAIR				
(Use several sheets if necessary)			Filing Date February 4, 2004 Group Art Unit 3736						
			Mailing Date June 2, 20	004					
_		1				1			
	109.	07/25/1995	JP 7-184883	Jap	an			Abstract	
	110.	06/15/1999	JP 11-155844	Japan				Abstract	
	111.	07/13/1979	CH 612,271	Switzerland				Abstract	
	112.	06/27/1996	WO 96/19180	WI	PO				
	113.	08/14/1997	WO 97/29368	WI	PO				
	114.	04/20/2000	WO 00/21437	WI	PO				
	115.	09/28/2000	WO 00/57177	WI	PO				
	116.	10/25/2001	WO 01/79818	WI	PO				
	117.	04/25/2002	WO 02/32303	WI	PO				
•			OTHE	R D	OCUMENTS	(includir	ng author, title, Da	ite, Pertinent Po	iges, Etc.)
Examiner Initials	Ref. No.	Title							
	118.	Least-Square	Bhandare, P. et al. (1993). "Multivariate Determination of Glucose in Whole Blood Using Partial Least-Squares and Artificial Neural Networks Based on Mid-Infrared Spectroscopy," <i>Appl. Spectr.</i> 47(8):1214-1221.						
	119.	Ginsberg, B.	Ginsberg, B.H. (1992). "An overview of minimally invasive technologies," Clin Chem. 38(9):1596-						
	120.		Heise, H.M. et al. (1989). "Multivariate Determination of Glucose in Whole Blood by Attenuated Total Reflection Infrared Spectroscopy," <i>Anal Chem.</i> 61:2009-2015.						
	121.	Heise, H.M. et al. (1998). "Clinical Chemistry and Near Infrared Spectroscopy: Technology for Non-Invasive Glucose Monitoring," J. Near Infrared Spectrosc. 6:349-359.					r Non-		
	122.	Isojima, K. et al. (2000). "Application of Infrared Attenuated Total Reflection Microspectroscopy to Diagnosis of Arterriosclerosis and Diabetes" <i>Proceedings of the Symposium on Electrical and Electronic Insulating Materials and Applications in Systems</i> , 32:293-296 and English translation.							
	123.	Kajiwara, K. et al. (1992). "Spectroscopic Quantitative Analysis of Blood Glucose by Fourier Transform Infrared Spectroscopy with an Attenuated Total Reflection Prism," Med. Prog. through Tech. 18:181-189.							
	124.	Kanazawa, M. (1997). "Determination of Blood Glucose Level with Infrared Spectroscopy" Nihon Bunko Gakkai Igaku Seibutsugaku Kenkyu Bukai Shinpojiumu Tekisuto, 1997:26-30 with English translation.							
	125.	Klonoff, D.C. (1997). "Noninvasive Blood Glucose Monitoring," <i>Diabetes Care</i> 20(3):433-437.					7.		
	126.	Kruse-Jarres J.D. et al. (1990). "Glucose and Other Constituents of Blood Determined by ATR-FTIR-Spectroscopy," <i>Clin. Chem.</i> 36(2):401-402.					-FTIR-		
EXAMI	NER:				DATE CONSIDERED:				
			idered, whether or not the				line through the	citation if not	in

Form PTO-1449		Docket Number 447582000700	Application Number 10/772,529			
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Robert N. BLAIR				
(Use several sheets if necessary)		Filing Date February 4, 2004	Group Art Unit 3736			
•		Mailing Date June 2, 2004				
127.	Mak, V.H. et al. (1990). "Percutaneous Total Reflectance Infrared Spectroscop		ivo Measured by Attenuated			
128.	McNichols, R.J. et al. (2000). "Optica Biomed. Optics 5(1):5-16.	l Glucose Sensing in Biological	Fluids: An Overview," J.			
129.	Mendelson, Y. et al. (1990). "Blood G and Infrared Absorption Spectroscopy					
130.						
131.	Rao, G. et al. (1993). "Reverse Iontopl Monitoring," <i>Pharm Res.</i> 10(12):1751		nvasive Approach for Glucose			
132.		003). "NICMOS Instrument Handbook for Cycle 11: Detector i.edu/hst/nicmos/documents/handbooks/v5/c06_detectors2.html>				
133.	Stevens, A. et al. (1992). Histology G	ower Medical Publishing: New	York. p. 349			
134.	Tucker, M.E. (2000). "FDA Advisory 33(2):9.	Panel Backs Wrist Glucose Mon	nitor," Intern. Med. News			
135.	XenICs (2001). Microbolometer detection www.xenics.com/Print/Product					
136.	XenICs (2001). MWIR: InAsSb Detec http://www.xenics.com/Print/Product					
137.	XenICs (2001). Product descriptions lovisited June 27, 2003, two pages.	ocated at http://www.xenics.co	m/Print/Products/nir.asp> last			
138.	Zeller, H. et al. (1989). "Blood Glucos Journal of Artificial Organs 12(2):129		ctroscopy," The International			
EXAMINER:		DATE CONSIDERED:				
	EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.					